



# WEIK CAPITAL MANAGEMENT

## Customer Relationship Summary – Form CRS

### Introduction

Weik Capital Management (WCM) is an investment adviser registered with the Securities and Exchange Commission. Brokerage and investment advisory fees and services differ and it is important to understand the differences. Free and simple tools are available to research investment firms and financial professionals at [Investor.gov/CRS](https://www.investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisors, and investing.

### What investment services and advice can you provide me?

WCM provides professional investment management services to its clients on a discretionary basis. We do not provide financial planning services, brokerage or tax advice. WCM requests discretionary authority from the client at the outset of an advisory relationship to select the securities to be employed and the amount to be bought or sold. In all cases, however, such discretion is to be exercised in a manner consistent with the stated investment objectives for the particular client account. Portfolios are monitored using a team approach that typically involves multiple reviews, but at least one in-depth review, by members of the investment team on a monthly basis. For qualified clients, WCM may recommend investing their assets in one of the partnerships it manages.

MORE DETAILED INFORMATION ABOUT OUR SERVICES CAN BE FOUND IN ITEMS 4 AND 7 OF OUR FORM ADV, PART 2A BROCHURE.

### Questions to Ask Us:

- **Given my financial situation, should I choose an investment advisory service? Why or why not?**
- **How will you choose investments to recommend to me?**
- **What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?**

### What fees will I pay?

Management fees are invoiced quarterly. Fees are based on the portfolio value at the conclusion of the prior quarter and are payable in advance for the quarter. The more assets there are in a retail investor's advisory account, the more a retail investor will pay in fees, and WCM may therefore have an incentive to encourage the retail investor to increase the assets in his or her account. Clients may incur certain charges imposed by custodians, brokers, and other third parties such as transaction charges, custodial fees, transfer taxes, wire transfer fees and other fees and taxes associated with brokerage accounts and securities transactions. Mutual funds and exchange traded funds/notes also charge internal management fees, which are disclosed in a fund's prospectus. The sole source of WCM revenues is the management fees it receives from its clients. WCM does not participate in any brokerage, fund, or custodial charges.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

MORE DETAILED INFORMATION ABOUT OUR SERVICES CAN BE FOUND IN ITEM 5 OF OUR FORM ADV, PART 2A BROCHURE.

## Questions to Ask Us:

- **Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?**

### **What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?**

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means.

Conflicts of interest can exist when WCM recommends whether a client should establish an individual portfolio or participate in a limited partnership. There are modest differences in fees and management time requirements. Recommendations are based on the individual circumstances and objectives of the client. A potential conflict of interest is created when we are requested to provide advice concerning the rollover of retirement plan assets to an IRA that would be managed by WCM. We endeavor to make every effort to offer objective advice, but this conflict should be noted, as a decision to rollover would create additional business for our firm.

MORE DETAILED INFORMATION ABOUT OUR SERVICES CAN BE FOUND IN ITEMS 7 AND 10 OF OUR FORM ADV, PART 2A BROCHURE.

## Questions to Ask Us:

- **How might your conflicts of interest affect me, and how will you address them?**

### **How do your financial professionals make money?**

All financial professionals are salaried based on their experience, skills, and contributions to the accomplishments of WCM. An additional factor in the determination of employee compensation may be the referral of clients.

### **Do you or your financial professionals have legal or disciplinary history?**

No, neither our firm nor our financial professionals have any legal or disciplinary history. Visit [Investor.gov/CRS](http://Investor.gov/CRS) for a free and simple search tool to research our firm and our financial professionals.

## Questions to Ask Us:

- **As a financial professional, do you have any disciplinary history? For what type of conduct?**

### **Additional Information**

You can find additional information about our firm's investment advisory services on the SEC's website at [www.advisorinfo.sec.gov](http://www.advisorinfo.sec.gov). To request up-to-date information or a copy of Form CRS, please call 610-376-2240.

## Questions to Ask Us:

- **Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?**